

# Certificate



**Certificate number: 2017-009**

Certified by EY CertifyPoint since: February 28, 2017

Based on certification examination in conformity with defined requirements in ISO/IEC 17021-1:2015 and ISO/IEC 27006:2015, the Information Security Management System as defined and implemented by

**Axon Enterprise, Inc\***

located in Scottsdale, Arizona, United States of America is compliant with the requirements as stated in the standard:

**ISO/IEC 27018:2014**

Issue date of certificate: January 24, 2019

Expiration date of certificate: October 21, 2021

Last certification cycle expiration date: October 21, 2018

EY CertifyPoint will, according to the certification agreement April 13, 2018, perform surveillance audits and acknowledge the certificate until the expiration date noted above or the expiration of the corresponding ISO/IEC 27001:2013 certification with certificate number 2015-020.

*\*The certification is applicable for the assets, services and locations as described in the scoping section on pages 2-5 of this certificate, with regard to specific requirements for information security as stated in the Statement of Applicability, dated June 4, 2018.*

A handwritten signature in blue ink, appearing to read 'J. Sehgal', with a stylized flourish at the end.

J. Sehgal | Director, EY CertifyPoint

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# Axon Enterprise, Inc.

## Scope for certificate 2017-009

The scope of this ISO/IEC 27018:2014 certification is bounded by the ISMS Scope and Boundaries document dated January 24, 2019. The Information Security Management System (ISMS) is centrally managed out of the Scottsdale, Arizona location.

### General

The following statement defines the Scope of Services for Axon's ISMS: "An Information Security Management System that governs all customer data that resides in the Evidence.com application". The scope of the ISMS includes the assets, technologies and processes employed by Axon within its facilities for processing, management and delivery of services to its customers. Additionally, the scope is defined with consideration of the external and internal context of the organization, requirements of interested parties, such as customers and regulatory bodies, and boundaries with third parties.

### Assets (including Technologies and Processes)

The components of the ISMS can be further categorized into Information Assets, Software Assets, Physical Assets, Site Assets, and People Assets.

### People

The following departments are in-scope for the ISMS:

- Axon Engineering - Production Support
- Axon Engineering - Developers
- Axon Customer Support
- Axon Product Managers
- Axon Information Security
- Axon Information Technology
- Axon Human Resources

There are approximately 157 people in-scope and supporting the ISMS across the departments noted above.

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## Sites (Virtual Assets)

Axon Enterprise regards the use of Infrastructure as a Service (IaaS) providers as a single 'IaaS Provider' asset. The rationale for this classification is that we perform the same asset and risk management process and procedures in a collective manner for these assets. From a responsibility perspective, the IaaS providers are responsible from the physical facility to the hypervisor; and Axon is responsible from the guest OS to the application user interface. The following are the list of IaaS provider processing regions, which align to the instances of Evidence.com in scope for the ISMS.

### AWS

#### Europe (EU) Evidence.com

AWS EU (Ireland) Region: AWS provides the physical data center building and servers, physical security, environment controls and IaaS offerings which Axon utilizes to create and manage dedicated a virtual network via Amazon VPC, virtual machines via Amazon EC2 instances, ELB load balancers, customer evidence data object storage via Amazon S3, user roles and access via AWS IAM, and network connectivity. The EC2 instances host both Evidence.com application servers and database servers (Cassandra Database Management System and SQL Server databases).

#### Brazil (BR) Evidence.com

AWS South America (São Paulo) Region: AWS provides the physical data center building and servers, physical security, environment controls and IaaS offerings which Axon utilizes to create and manage dedicated a virtual network via Amazon VPC, virtual machines via Amazon EC2 instances, ELB load balancers, customer evidence data object storage via Amazon S3, user roles and access via AWS IAM, and network connectivity. The EC2 instances host both Evidence.com application servers and database servers (Cassandra Database Management System and SQL Server databases).

### UKCloud

**United Kingdom (UK) Evidence.com - Decommissioned and hence not in scope effective February 6, 2018. Customer data migrated to Azure instance: United Kingdom (UK) Evidence.com.**

UKCloud (previously Skyscape) Cloud Services (England) data centers: UKCloud provides the physical data center building and servers, physical security, environment controls IaaS offerings which Axon utilizes to create and manage dedicated a virtual private cloud network, virtual machines, load balancers, customer evidence data object storage, user roles and access, and network connectivity. The virtual machines host both Evidence.com application servers and database servers (Cassandra Database Management System and SQL Server databases).

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## Azure

### **United States (US) Evidence.com**

Microsoft Azure ("Azure") US Region: Azure provides the physical data center building and servers, physical security, environment controls and IaaS offerings which Axon utilizes to create and manage dedicated a virtual network, virtual machines, load balancers, customer evidence data object storage, user roles and access, and network connectivity. Azure hosts both Evidence.com application servers and database servers (Cassandra Database Management System and SQL Server databases).

### **United States (US) Federal Region Evidence.com**

Microsoft Azure ("Azure") US Region: Azure provides the physical data center building and servers, physical security, environment controls and IaaS offerings which Axon utilizes to create and manage dedicated a virtual network, virtual machines, load balancers, customer evidence data object storage, user roles and access, and network connectivity. Azure hosts both Evidence.com application servers and database servers (Cassandra Database Management System and SQL Server databases).

### **Australia (AU) Evidence.com**

Microsoft Azure ("Azure") Australia Region: Microsoft Azure provides the physical building, physical security, environment controls and within dedicated Virtual Network: computing capability via Virtual Machines, data storage via Blob Storage Service, Identity & Access Management via Azure Active Directory, and network connectivity.

### **Canada (CA) Evidence.com**

Microsoft Azure ("Azure") Canada Region: Azure provides the physical data center building and servers, physical security, environment controls and IaaS offerings which Axon utilizes to create and manage dedicated a virtual network, virtual machines, load balancers, customer evidence data object storage, user roles and access, and network connectivity. Azure hosts both Evidence.com application servers and database servers (Cassandra Database Management System and SQL Server databases).

### **United Kingdom (UK) Evidence.com**

Microsoft Azure ("Azure") UK Region: Azure provides the physical data center building and servers, physical security, environment controls and IaaS offerings which Axon utilizes to create and manage dedicated a virtual network, virtual machines, load balancers, customer evidence data object storage, user roles and access, and network connectivity. Azure hosts both Evidence.com application servers and database servers (Cassandra Database Management System and SQL Server databases).

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### Evidence.com Backup Files

Evidence.com backup files (SQL database and Cassandra database) are stored within the customer's selected region in Amazon data centers. Amazon provides the physical building, physical security, environment controls and within dedicated data storage via S3, Identity & Access Management via AWS IAM and network connectivity. The only exception is US3, where backup files are stored within Microsoft Azure US Gov Virginia and US Gov Texas.

Economic Area	Axon Evidence.com Backup File Storage Locations
United States (US) Evidence.com	Amazon US East (Northern Virginia) Region
United States (US) Federal Region Evidence.com	Azure US Gov Virginia and US Gov Texas
Canada (CA) Evidence.com	Amazon CA Central Region
Australia (AU) Evidence.com	Amazon Asia Pacific (Sydney) Region
Europe (EU) Evidence.com	Amazon EU West 1 (Ireland) Region
Brazil (BR) Evidence.com	Amazon South America (Sao Paulo) Region
United Kingdom (UK) Evidence.com	Amazon EU West 2 (London) Region

### Sites (Physical Locations)

The Evidence.com application service is hosted solely on virtual infrastructure sitting on physical infrastructure located at IaaS provider facilities. Workstations used to manage the Evidence.com connect to the environments remotely from Axon office locations. The primary locations used to access IaaS provider infrastructure are Axon's offices in Scottsdale, Arizona, United States (17800 N 85th Street, Scottsdale, Arizona) and Seattle, Washington, United States (1100 Olive Way, Suite #1300, Seattle, Washington) for the Evidence.com instances in the AWS and Azure environments. For the UK Evidence.com instance hosted in the UK Cloud environment, access is from the Axon offices.

### Scope Exclusions and Boundaries

Axon uses Amazon and Microsoft Azure as IaaS providers. The IaaS providers are responsible from the physical facility to the hypervisor. Axon only utilizes IaaS providers that have achieved and maintain ISO 27001 certification. The IaaS providers have included in their ISO 27001 scope all assets, services, people and processes that are used in their services to Axon.

The Information Security Management System mentioned in the above scope is restricted as defined in the ISMS Scope and Boundaries document dated January 24, 2019.

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